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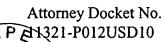
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to a collection of information unless it displays a valid OMB control number
10/033,228 are required to respond to a collection of information unless it displays a valid OMB control number eduction Act of 1995, no person Application Number Filing Date TRANSMITTAL December 28, 2001 First Named Inventor **FORM** Richard E. Smalley Art Unit **Examiner Name** Stuart L. Hendrickson (to be used for all correspondence after initial filing)

Total Number of Pages in This Submission			Attorney Docket Number	11321-P01	12USD10
	ee Transmittal Form Fee Attached		Drawing(s)  Licensing-related Papers  Petition	li that apply)	After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC
	Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement		Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD		Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  1) Amendment Under 37 CFR 1.312 (in duplicate)  2) Part B - Fee Transmittal (PTOL-85)  3) Check in the amount of \$1700.00  4) Postcard
Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53		Rema			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Name Winstead Sectorest & Minick P.C.  Signature					
Printed na	ame Ross Spencer Garsson				
Date	January 19, 2006			Reg. No.	38,150
CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with					

## sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature January 19, 2006 Date Beatrice Zepeda

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of: Smalley, et al.

Serial No.:

10/033,228

Filed:

December 28, 2001

Art Group:

1754

Examiner:

Not yet assigned

Title:

METHOD FOR PRODUCING SELF-ASSEMBLED OBJECTS COMPRISING SINGLE-

WALL CARBON NANOTUBES AND COMPOSITIONS THEREOF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS (37 C.F.R. 1.28(3)(b))

Dear Sir:

As required by 37 CFR 1.28(3)(b), the U.S. Patent and Trademark Office is hereby notified that the owner of this patent application is no longer entitled to status as a small entity.

If there are any questions related to this matter, the PTO is requested to contact Ross Spencer Garsson at 512.370.2870.

Respectfully submitted,

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